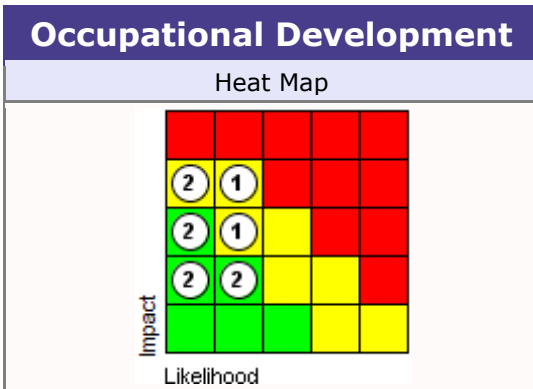

















Service Risk Register – Occupational Development

Generated on: 1 December 2011







Key


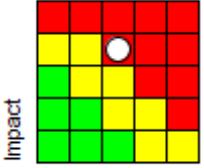
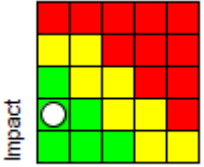
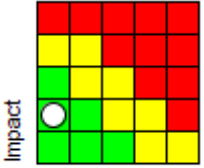
Risk Status	
	OK
	Warning
	Alert
	Unknown

Status	Code	Title
	OD 02	Ageing workforce
	OD 03	Failure to manage servers
	OD 04	Lack of web/internet resilience and consequent failure of the infrastructure
	OD 05	ICT has no comprehensive second site
	OD 06	Failure to maintain IT software and hardware
	OD 07	A successful attack against RDC infrastructure, system and premises
	OD 08	Breakdown in Trade Union relations
	OD 09	Failure to manage partnership agreements
	OD 10	Data Quality (prev HR6)
	OD 11	Failure to successfully run an election
	OD	Organisational Development Risk Plan


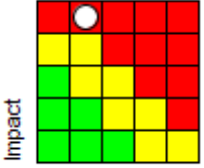
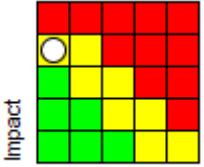
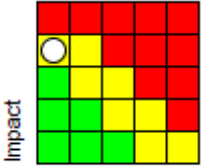
OD 02 Ageing workforce

Risk Code	Risk Title	Description			Status
OD 02	Ageing workforce				
Consequences		Staff can retire at any time (workforce plan). Increased vacancies. lack of knowledge transfer. lack of opportunity.			
Original Matrix		Original Rating Description			
 <p data-bbox="369 638 571 662">Likelihood</p>		Original Impact	C	Original Likelihood	3
			Medium		Likely
Current Risk Matrix		Current Rating Description			
 <p data-bbox="369 893 571 917">Likelihood</p>		Current Impact	C	Current Likelihood	2
			Medium		Not Likely
Target Risk Matrix		Target Rating Description			
 <p data-bbox="369 1149 571 1173">Likelihood</p>		Target Impact	C	Target Likelihood	2
			Medium		Not Likely
Latest Progress				Last Review Date	SMT Lead
30-Nov-2011 Review work has been ongoing due to the impact of the statutory removal of the age of retirement thus giving more flexibility to continuity of tasks in vulnerable areas. Work is now ongoing with reference to workforce planning/succession planning for 2013 - 2014 in light of budget implications. This also follows one11 and going for gold strategies.				30-Nov-2011	Barrie May; Louise Sandall; Barrie May; Louise Sandall


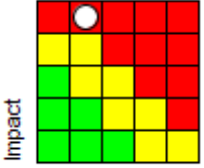
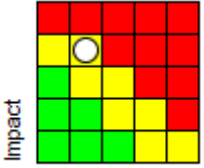
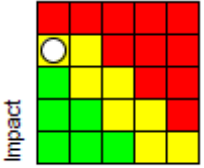
OD 03 Failure to manage servers

Risk Code	Risk Title	Description	Status
OD 03	Failure to manage servers		
Consequences		Server failure. Lack of space. Documents not being backed up	
Original Matrix		Original Rating Description	
	Original Impact	D	3
		Major	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	B	1
		Minor	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	B	1
		Minor	Target Likelihood
Latest Progress			Last Review Date
28-Jul-2011 With the successful virtualization of servers, along with replication operational at Showfield Lane there is little concern over backups or business continuity in the short-medium term			28-Jul-2011
			SMT Lead
			Barrie May; Louise Sandall; Barrie May; Louise Sandall


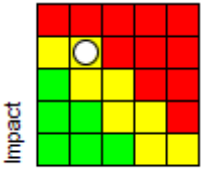
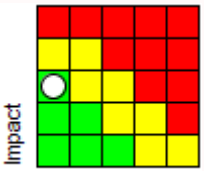

OD 04 Lack of web/internet resilience and consequent failure of the infrastructure

Risk Code	Risk Title	Description	Status
OD 04	Lack of web/internet resilience and consequent failure of the infrastructure		
Consequences		Loss of email. Limited ICT services being available. Loss of systems. Disruptions to public facing services.	
Original Matrix		Original Rating Description	
	Original Impact	E	2
		Disaster	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	D	1
		Major	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	D	1
		Major	Target Likelihood
Latest Progress			Last Review Date
01-Aug-2011 Thin client support moved to larger company with additional resources, who also support existing virtualisation, thus generating additional support resources for desktops ad servers.			28-Jul-2011
			SMT Lead
			Barrie May; Louise Sandall; Barrie May; Louise Sandall


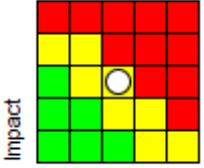
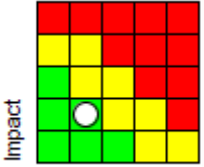
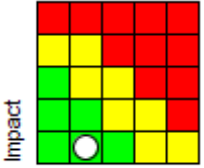
OD 05 ICT has no comprehensive second site

Risk Code	Risk Title	Description	Status
OD 05	ICT has no comprehensive second site		
Consequences		Business continuity	
Original Matrix		Original Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Original Impact Disaster	Original Likelihood E	
		Original Likelihood Not Likely	
Current Risk Matrix		Current Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Current Impact Major	Current Likelihood D	
		Current Likelihood Not Likely	
Target Risk Matrix		Target Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Target Impact Major	Target Likelihood D	
		Target Likelihood Very Low	
Latest Progress			Last Review Date
01-Aug-2011 With the existing business continuity arrangement with NDR/ICM/Servo and the location of equipment at Showfield Lane, it is possible that it may act as a second site. As a part of the contract renewal investigations negotiations are taking place with the existing supplier who also provide to NYnet, other suppliers and Scarborough BC. So whilst it is a major issue, it is no longer a disaster.			SMT Lead 01-Aug-2011





OD 06 Failure to maintain IT software and hardware

Risk Code	Risk Title	Description	Status
OD 06	Failure to maintain IT software and hardware		
Consequences		Regular break downs on equipment resulting in possible loss of data, not being able to use the equipment	
Original Matrix		Original Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Original Impact	D	
		Major	Original Likelihood
Current Risk Matrix		Current Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Current Impact	C	
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Target Impact	C	
		Medium	Target Likelihood
Latest Progress		Last Review Date	SMT Lead
01-Aug-2011 Updating obsolescent software, applications and hardware as a part of the ICT Programme. This includes the virtualisation and backup of all servers and thin desktops.		01-Aug-2011	Barrie May; Louise Sandall; Barrie May; Louise Sandall



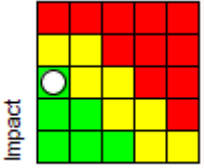
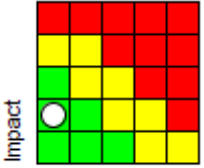
OD 07 A successful attack against RDC infrastructure, system and premises

Risk Code	Risk Title	Description	Status
OD 07	A successful attack against RDC infrastructure, system and premises		
Consequences		Business disruption. Unplanned costs. Loss of information. Loss of reputation	
Original Matrix		Original Rating Description	
	Original Impact	C	3
		Medium	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	B	2
		Minor	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	A	2
		Low	Target Likelihood
Latest Progress		Last Review Date	SMT Lead
01-Aug-2011 As of July 2011 the Council has undergone two annual IT health checks and quarterly penetration tests of the network are ongoing. Any issues raised as a part of the tests/checks are fixed asap.		01-Aug-2011	Barrie May; Louise Sandall; Barrie May; Louise Sandall


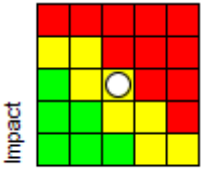

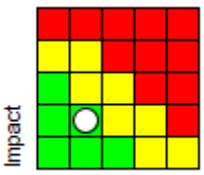
OD 08 Breakdown in Trade Union relations

Risk Code	Risk Title	Description	Status
OD 08	Breakdown in Trade Union relations		
Consequences		Strike(s). Lack of cooperation in moving the organisation forward.	
Original Matrix		Original Rating Description	
	Original Impact	B	2
		Minor	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	B	1
		Minor	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	A	1
		Low	Target Likelihood
Latest Progress			Last Review Date
30-Nov-2011 Recent working relations with Unison have been very positive when consulting and negotiating for example when reviewing terms and conditions.			30-Nov-2011
			SMT Lead
			Barrie May; Louise Sandall; Barrie May; Louise Sandall

OD 09 Failure to manage partnership agreements

Risk Code	Risk Title	Description	Status
OD 09	Failure to manage partnership agreements		
Consequences		Constitution not adhered to. Poor customer service. SLA not managed. Service negatively impacted	
Original Matrix		Original Rating Description	
	Original Impact	C	1
		Medium	Original Likelihood
Current Risk Matrix		Current Rating Description	
	Current Impact	C	1
		Medium	Current Likelihood
Target Risk Matrix		Target Rating Description	
	Target Impact	B	1
		Minor	Target Likelihood
Latest Progress			Last Review Date
<p>30-Nov-2011 Ryedale District Council entered into a SLA with NYCC Health and Wellbeing on 1 November 2011 for the provision of Occupational Health Services. This is to be monitored on a quarterly basis for economy, efficiency and effectiveness purposes.</p> <p>SLA with York Payroll continues to be monitored on a regular basis for economy, efficiency and effectiveness.</p>			30-Nov-2011
			SMT Lead
			Barrie May; Louise Sandall; Barrie May; Louise Sandall


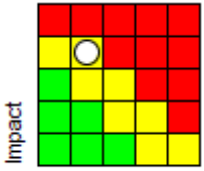


OD 10 Data Quality (prev HR6)

Risk Code	Risk Title	Description			Status
OD 10	Data Quality (prev HR6)				
Consequences		Inaccurate information supplied to CMT, payroll, suppliers, councillors, members of the public. Loss of reputation. Loss of member and officer time. Loss of public confidence. Inability to progress projects effectively.			
Original Matrix		Original Rating Description			
		Original Impact	C	Original Likelihood	3
			Medium		Likely
Current Risk Matrix		Current Rating Description			
		Current Impact	B	Current Likelihood	2
			Minor		Not Likely
Target Risk Matrix		Target Rating Description			
		Target Impact	B	Target Likelihood	2
			Minor		Not Likely
Latest Progress				Last Review Date	SMT Lead
30-Nov-2011 Payroll continues to be checked by Ryedale then at York City. HR Information checked locally; CRB				30-Nov-2011	Barrie May; Louise Sandall

completed and checked by 2 HR Staff. Close liaison between Ryedale and relevant parties ensured efficient and effective data management at all times.

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OD 11 Failure to successfully run an election

Risk Code	Risk Title	Description	Status
OD 11	Failure to successfully run an election		
Consequences		Loss of public confidence, Legal Challenge, Loss of reputation, Cost of re-running election	
Original Matrix		Original Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Original Impact	D	
		Major	Original Likelihood
Current Risk Matrix		Current Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Current Impact	D	
		Major	Current Likelihood
Target Risk Matrix		Target Rating Description	
 <p>Impact</p> <p>Likelihood</p>	Target Impact	D	
		Major	Target Likelihood
Latest Progress		Last Review Date	SMT Lead
02-Jun-2011 Elections and referendum in May 2011 completed successfully with excellent risk management processes in place, as verified by the Electoral Commission.		07-Sep-2010	Barrie May; Louise Sandall; Barrie May; Louise Sandall